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DOL, FINTECH HEADLINE AT NICSA STRATEGIC LEADERSHIP FORUM

McLean, VA, January 29, 2017—NICSA announces the launch of its Strategic Leadership Forum, *Disruptors Facing the Asset Management Industry*. Leading financial services companies, including asset managers, mutual fund companies, broker dealers, as well as service providers and professional services firms that support the industry converge in Hollywood, Florida to share their insights on shifting paradigms in the industry.

The DOL fiduciary rule and the evolving political and regulatory landscape will take center stage at the conference and are prominently featured at the event's general sessions. Also of note is the focus on disruptive technologies, as several interactive sessions explore the immediate and longer-term impacts that fintech may have on the investment management industry. The conference will feature keynote presentations by U.S. Astronaut Captain [Scott Kelly](#) and generational expert [Hannah Ubl](#), as well as a *Face the Membership Roundtable* moderated by CNBC's [Tyler Mathisen](#).

Dan Houlihan, Executive Vice President at Northern Trust, and Chairman of NICSA's Board of Directors stated on the opening of the event: "We're very pleased with the program NICSA has lined up for this year's SLF. The agenda covers an array of topics critical to financial services business planning in 2017 and beyond, and features over 40 visionary speakers from our industry."

Jim Fitzpatrick, President of NICSA, added: "We'll be discussing an array of potential industry disruptors at the Strategic Leadership Forum—from the DOL fiduciary rule to robo-advisors and data analytics, to name a few. Our primary focus will be the industry's strategic preparation and critical process development in response to such developments, and that's where we feel NICSA can add value to our members. Through peer collaboration and interactive forums, we'll be taking a deeper dive into the development of tangible business solutions and best practices."

The event is being held at the Diplomat Resort and Spa in Hollywood, Florida and will conclude on January 31, 2017. For the complete program, including sessions and speakers, click [here](#).

About NICSA

[NICSA](#) is a not-for-profit trade association providing leadership and innovation in independent education programming and information exchange within the global financial services industry.

NICSA member companies operate in all segments of the financial industry and include fund complexes, investment management companies, broker dealers, custodian banks, transfer agents, and other professional service firms including audit, tax, legal, technology, marketing, telecom, and compliance. NICSA's mission is to offer a comprehensive range of services and programs that allow members to develop, share, and advance leading practices among global investment management industry participants.

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