



**NICSA**

™

**An Asset Management  
Association For All**

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DEVELOP.**

**Gain Your Industry Advantage.**

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[www.nicsa.org](http://www.nicsa.org)

# NICSA MEMBERSHIP BENEFITS

NICSA is a not-for-profit trade association that connects global asset management industry participants in order to **develop, share, and advance leading practices.**

For over fifty years, the Association has offered a collective, timely view on evolving themes shaping the financial services industry. Deep expertise and unique perspectives come together in an open and collaborative environment to tackle the strategic implementation of the industry's most vital issues.

## OUR MISSION

Our goal is to help asset management firms and financial service providers meet the changing needs of their clients and our industry by aligning and educating industry participants through:

- » **Formal education programs** that provide collaborative insights from diverse perspectives
- » **Interactive forums** that allow members to exchange ideas and business solutions
- » **Networking opportunities** that connect members from all segments of the financial industry

We seek to provide industry executives the tools to **gain industry knowledge** and **make informed decisions** about strategic business development and best practice implementation. In addition, NICSA provides the opportunity for individuals to **develop professional leadership skills** and **make a meaningful impact** on the industry we serve via a committee volunteer network.

## BROAD INDUSTRY PARTICIPATION

NICSA offers **enhanced collaboration across a wide range of industry perspectives.** The Association enjoys a **diverse membership**, including asset management firms, broker dealers, banks, and an extensive assortment of professional service firms that support the industry.

*"I've **built relationships** with individuals from several organizations who I now feel comfortable contacting for any reason. We've collaborated on issues ranging from technology to operations. At a recent **NICSA General Membership Meeting**, I learned valuable information about SMART automation that I took to my company, and I worked through related issues with individuals I met through the panel discussion."*

Chris Trede  
Senior Vice President  
American Funds Service Company



**To become a member, contact**

**Anne De May** Vice President of Membership  
ademay@nicisa.org | 508.409.6861 (office)

## IMPACTFUL MEMBER CONTRIBUTION

We rely upon strong member engagement, reflecting valuable insight and experience, to identify key themes and develop content for the programs that serve as education tools for NICSA members.

**Our thought leadership programs are driven largely by members for members.** Essential to our mission is active participation in, and contribution to, our many committees. To ensure that all segments of the fund industry are represented, NICSA supports a variety of topic and event committees that meet regularly to discuss emerging issues and exchange ideas.

Smaller committee sizes (approximately 25 members) allow our volunteers to have impactful participation, make meaningful contributions, and have their voices be heard. Committee membership is open to all employees of NICSA member firms.

## ACCESS TO LEADING INDUSTRY KNOWLEDGE

NICSA provides access to developing industry practices via formal educational programs, nation-wide conferences, and informal networking opportunities.

### Employee Education and Training

Employees of all stages of their careers can join our unique educational programs and receive valuable, practically-focused training on the essentials of the industry as well as deeper dives into leading industry practices. NICSA is registered with the National Association of State Boards of Accountancy (NASBA) and proudly offers continuing education credits as a sponsor of continuing professional education on the National Registry of CPE Sponsors.

### Free Webinars

Regularly scheduled webinars are offered throughout the year to all staff of NICSA member firms on a variety of topics and functions. Presented by prominent industry experts and thought leaders, NICSA's webinars offer a convenient, thought provoking way for members to stay apprised of the latest developments in the asset management world.

### Industry Intelligence

NICSA provides members with the opportunity to learn and grow outside of organized events. NICSA reports on diverse topics that support the advancement and betterment of investment product offerings, shareholder services, and fiduciary standards. Access to an extensive database of reference materials—including white papers, surveys, conference archives, articles, and more—is available to members through the **NICSA Knowledge Center** via our **Member Portal**. On our Member Portal, members can register for events, access committee material, and engage in discussion forums.

*"NICSA webinars are an effective and efficient way for leaders in my firm to learn about industry best practices and develop business insight. We often gather our executives internally to participate, debrief, and decide how to leverage what we've learned in order to drive our business forward."*

Ian McCoy  
Senior Vice President  
Franklin Templeton Investments



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*“On a personal level, NICSA has helped shape my career. I’ve been able to develop a **strong industry network**, and valued relationships through my involvement with various committees, conferences, and my current role on the NICSA board.”*

Anne Hebard  
Managing Director  
Boston Financial Data Services

### National Conferences

NICSA provides education and networking opportunities through two national conferences, the General Membership Meeting (GMM) and Strategic Leadership Forum (SLF). Both the GMM and SLF explore current industry trends via keynotes, panel discussions, and roundtables with subject matter experts across all areas of the asset management industry. NICSA’s SLF focuses on identifying central themes affecting the industry, while the GMM centers on business implications and strategic implementation of such developments.

### Regional Meetings

NICSA hosts conveniently located Regional Meetings throughout the year that offer a smaller venue in which members take a deeper dive into select topics.

### Networking Exposure

Members can refresh and gain new connections with leading professionals in the asset management industry through networking opportunities at NICSA’s meetings and conferences. NICSA’s events provide opportunities to interact with industry peers in a setting that is conducive to idea sharing and collaboration.

## PROFESSIONAL GROWTH DEVELOPMENT

### Speaking Engagements

Members are often given the opportunity to be featured speakers on webinars, or at meetings or conferences. Speakers are given a platform upon which to offer their unique perspective while enhancing their personal and corporate brands.

### Leadership Opportunities

Any employee from NICSA members firms may volunteer for committee membership or leadership roles through chairing committees and task forces. These opportunities are focused on identifying and leading discussions on key practices and topics shaping the industry.



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## SCHOLARSHIP PROGRAMS

Children of employees of NICSA member companies are eligible to apply for the **Blackwell Scholarship Program**, a program designed to support students pursuing degrees in the asset management industry during their junior and senior years of college. Blackwell Scholars are provided two years of scholarship support, a mentor from a NICSA member company, and access to career networking and internship opportunities.

## VALUE FOR ALL DIVISIONS, ALL DEPARTMENTS, ALL EMPLOYEES

Benefits are offered to all employees of member firms. This means that **NICSA's membership value is extended to all parts of your organization** – be it technology, product development, marketing, distribution, legal & compliance, operations, accounting, or key (national) accounts.

Membership in NICSA is on an annual basis and at the corporate level. All associates of the member firm, as well as wholly owned subsidiaries, are eligible for benefits. Membership levels are determined by company size.

There are a variety of membership levels and benefit packages to help participating firm maximize the value of NICSA membership benefits. Please contact NICSA for details.

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# UPCOMING EVENTS

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**NICSA's 2017 General Membership Meeting (GMM)**  
**October 5-6 | Boston, MA**

**NICSA's 2017 Westcoast Regional Meeting**  
**November 16 | Los Angeles**

**NICSA's 2018 Strategic Leadership Forum (SLF)**  
**February 28 – March 2 | The Doral - Miami, FL**

**NICSA**

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