

Our Mission

NICSA is a non-profit trade association connecting all sides of the global asset management industry in order to **develop, share and advance leading practices**.

NICSA strives to provide an industry forum where diverse perspectives come together in a collaborative environment to tackle the strategic implementation of the industry's most vital issues.

Who We Serve

With broad industry participation, NICSA serves a **diverse set of participants** including product, distribution, technology, operations, compliance, and accounting professionals.

NICSA member firms operate in **all segments** of the global asset management industry and include fund complexes, broker dealers, custodian banks, transfer agents, and other professional service firms including audit, tax, legal, technology, marketing, telecom, and compliance.

Our Goal

NICSA's goal is to help participants in the global asset management industry meet the changing needs of their clients by aligning and educating industry executives via:

- **Formal education programs** that provide collaborative insights from diverse perspectives;
- **Interactive forums** that allow members to exchange ideas and business solutions; and
- **Networking opportunities** that connect members from all segments of the global asset management industry.

NICSA provides industry executives the tools to **gain industry knowledge** and **make informed decisions** about strategic business development and best practice implementation. In addition, NICSA provides the opportunity for individuals to **develop professional leadership skills** and **make a meaningful impact** on the industry we serve via committee volunteer work.

What We Offer

ENTERPRISE-LEVEL VALUE

NICSA member benefits are offered to all employees of member firms – executive level, mid-level management, and those that are new to the industry. Membership value is extended to all parts of your organization from the front office to the back office

“Putnam associates are actively involved with NICSA. In our experience, NICSA committee participation is a great way to connect with the NICSA community, and to engage on topics spanning all aspects of the asset management industry.”

Karen Walsh | Head of Investor Services,
PUTNAM INVESTMENTS

“On a personal level, NICSA has helped shape my career. I’ve been able to develop a strong industry network, and valued relationships through my involvement with various committees, conferences, and my current role on the NICSA board.”

Anne Hebard, | Managing Director
DST SYSTEMS

BROAD INDUSTRY PERSPECTIVE

NICSA has continued to evolve into a dynamic cross section of all aspects of the global asset management industry, with many of the largest and leading fund companies having a seat at the table.

NICSA offers enhanced collaboration across a **wide range of industry perspectives**. The Association enjoys a diverse membership, including asset management firms, broker dealers, and an extensive group of firms that include law, technology and service firms that support the industry.

IMPACTFUL MEMBER CONTRIBUTION

Our thought leadership programs are driven largely by members for members. To ensure that all segments of the asset management industry are represented, NICSA supports a variety of topic and event committees that meet regularly to discuss emerging issues and exchange ideas.

Smaller committee sizes (approximately 25 members) allow our volunteers to have impactful participation, make meaningful contributions, and have their voices be heard.

A complete list of NICSA Committees can be found at nicsa.org.

ACCESS TO LEADING INDUSTRY INTELLIGENCE

NICSA provides access to developing industry practices via formal educational programs, nation-wide conferences, and informal networking opportunities. Employees of all stages of their careers can join our unique educational programs and receive valuable, practically-focused training on the essentials of the industry as well as deeper dives into leading industry practices.

CONFERENCE AND EVENTS

The **General Membership Meeting (GMM)** and **Strategic Leadership Forum (SLF)** each explore current industry trends via keynotes, panel discussions, and roundtables with subject matter experts across all areas of the asset management industry. NICSA's SLF focuses on identifying central themes affecting the industry, while the GMM centers on business implications and strategic implementation of such developments.

NICSA also offers **regional meetings** and **workshops** throughout the year that encourage peer dialogue and deeper dives into select topics.

EDUCATIONAL CONTENT

All employees of NICSA members have access to the **NICSA Knowledge Center**.

- **Newsletters:** showcases of monthly content and events
- **Webinars:** diverse perspectives from prominent industry experts
- **Blogs:** key takeaways from NICSA events and programs
- **Podcasts:** brief insights on trending business themes
- **Research:** best practice guides for implementation and business planning
- **Reference Guides:** industry standards on regulatory compliance for transfer agents, investment product features for advisors, and more
- **Committee Communities:** a platform to engage on committee material, discussion forums, and industry research.

“As a member of NICSA, we have benefited from engaging with the industry’s largest global asset managers and service providers. NICSA encourages collaboration and, through their committee volunteer network, our employees have been able to further develop professional leadership skills and make a meaningful impact on the industry.”

David Whitaker | President |
FORESIDE

CONTINUING EDUCATION

NICSA is registered with the National Association of State Boards of Accountancy (NASBA) and proudly offers continuing education credits as a sponsor of continuing professional education on the National Registry of CPE Sponsors.

NETWORKING EXPOSURE

Members can refresh and gain new connections with leading professionals in the asset management industry through networking opportunities at NICSA's meetings and conferences. NICSA's events provide opportunities to interact with industry peers in a setting that is conducive to idea sharing and collaboration.

ACTIVE INDUSTRY ENGAGEMENT & PEER COLLABORATION

Committee membership is open to all levels of management, providing an excellent opportunity for mid-level management to participate in the development of industry solutions. NICSA offers exceptional opportunities to collaborate on industry trends via year-round committee meetings spanning both front and back office business functions. For a complete list of NICSA committees, please visit nicsa.org.

PROFESSIONAL DEVELOPMENT AT ALL MANAGEMENT LEVELS

Member firms are often given the opportunity to recommend featured speakers for NICSA webinars and conferences. Expert speakers and subject matter experts are given a platform upon which to offer their unique perspective while enhancing their personal and corporate brands.

Qualified industry executives of member firms may volunteer for impactful leadership roles through chairing committees and task forces. These opportunities are focused on identifying and leading discussions on key practices and topics shaping the industry.

VALUE FOR ALL DIVISIONS, ALL DEPARTMENTS, ALL EMPLOYEES

All associates of the member firm, as well as divisions and subsidiaries, are eligible for benefits. There are a variety of membership levels and benefit packages to help participating firms maximize the value of NICSA membership benefits. Please contact NICSA for details.

CONTENT SHARING

NICSA offers unique opportunities for member firms that want to highlight and leverage their thought leadership expertise. NICSA members may submit content for NICSA's digital newsletter and Knowledge Center, with access to a global, influential audience.

Blackwell Scholarship Program

Children of employees of Nicsa member companies are eligible to apply for the **Blackwell Scholarship Program**, a program designed to support students pursuing degrees in the asset management industry during their junior and senior years of college. Blackwell Scholars are provided two years of scholarship support, a mentor from a Nicsa member company, and access to career networking and internship opportunities.

Diversity Project North America

Nicsa is the proud sponsor of the **Diversity Project North America**, an initiative focused on increasing diversity within the asset management industry. The mission of the Diversity Project is to promote a diverse and inclusive asset management industry with the right talent to deliver the best possible results for our clients, reflect the society we serve, and ensure long-term business sustainability. The Project spans the entire range of the industry: asset managers, fund complexes, asset servicers, broker dealers, asset owners and professional service firms that support the industry.

Now is the time to #JoinTheMovement - please contact us at info@nicsa.org if your company is interested in joining.

Contact

Anne De May
Vice President, Membership Engagement
ademay@nicsa.org

Jylanne Dunne
Vice President, Diversity Project North America
jdunne@nicsa.org